

5.1022

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ETSUO OSHIMA, ET AL.

Serial No.: 020,900

Filed: March 2, 1987

For: DIBENZ[b,e]OXEPIN  
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Examiner:

Group Art Unit: 129

July 23, 1987

Honorable Commissioner of Patents  
and Trademarks  
Washington, D. C. 20231

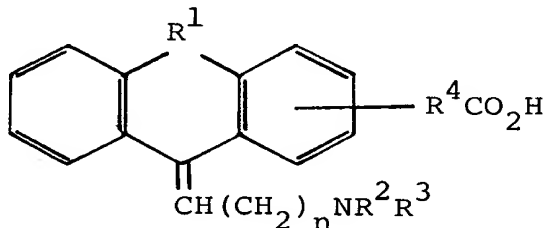
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the provisions of M.P.E.P. §609, Applicants are enclosing herewith a copy of the publication identified on attached Form PTO-1449. Its relevance is discussed herein.

EPO patent application publication No. 0,214,779 relates to tricyclic compounds of the formulae



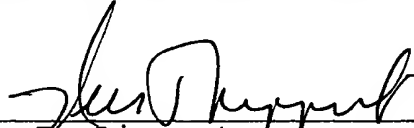
wherein R' may be CH<sub>2</sub>-O, n is 0-3, R<sub>2</sub> and R<sub>3</sub> are independently hydrogen, C<sub>1-4</sub> alkyl or comprise a C<sub>4-6</sub> nitrogen-containing heterocyclic ring and R<sub>4</sub> may be a 2 or 3 position single bond or C<sub>1-7</sub> bivalent aliphatic hydrocarbon. Applicants initially note that the document was published on March 18, 1987, and is therefore not prior art to this application.

Furthermore, the EPO application does not, in fact, illustrate by example the actual production of a compound wherein  $R_4$  is a saturated aliphatic hydrocarbon.

For the Examiner's information, a Japanese patent application corresponding to the EPO application was laid-open as Kokai JP-A-45,557/87.

Entry hereof is respectfully requested.

Respectfully submitted,

  
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